



JC03 Rec'd PCT/PTO 1 3 SEP 2005

PATENT
Attorney Docket No. 403371/WEINSTEIN
Client Reference No. CV/MMA/52550

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jean-Pierre GHILARDI

Application No. 10/530,711

Filed: April 8, 2005

For: SWIVEL JOINT SYSTEM

Art Unit: Unassigned

Examiner: Unassigned

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop Missing Parts
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Dear Sir

Applicant hereby responds to the Notification of Missing Requirements dated September 8, 2005.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

2. Documents Submitted Herewith

- a. ☒ Executed Declaration of inventor(s)
- b. ☐ Nucleotide and/or Amino Acid Sequence Submission:
 - i. ☐ Computer Readable Form (CRF)
 - ii. Specification Sequence Listing on:
 - (1) ☐ CD-ROM or CD-R (2 copies); or
 - (2) ☐ Paper Copy
 - iii. ☐ Statement verifying identity of above copies
- c. ☒ Verified English translation of application
- d. ☒ Copy of the Notification of Missing Requirements
- e. ☐ Substitute Drawings
- f. ☒ Other: Preliminary Amendment, Information Disclosure Statement and International Search Report (PCT/ISA/210)

#4

3. Fees

Application Filing Fee

- a. ☒ No application filing fee due
b. ☐ Application filing fee due in amount calculated below:

BASIC FEE (\$300 FILING FEE + \$200 EXAMINATION FEE + \$500 SEARCH FEE)				\$1,000.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	-20=		x \$50.00	\$
Independent Claims	- 3=		x \$200.00	\$
<input type="checkbox"/> Multiple Dependent Claim(s) if applicable			+\$360.00	\$
<input type="checkbox"/> Application Size Fee (\$250 for each additional 50 sheets over 100)				\$
Total of above calculations =				\$
Reduction by 50% for filing by small entity =				\$()
Fee Already Paid =				\$
Fee Due =				\$

4. Late Filing of Oath or Declaration Surcharge

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

Fee Due \$130.00

5. Non-English Specification Surcharge

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

Fee Due \$130.00

6. Extension of Time

- ☐ Applicants petition for a one-month extension of time under 37 CFR 1.136, the fee for which is \$120.00.
- ☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due \$0.00

09/16/2005 GFREY1 00000132 121216 10530711

01 FC:1617 130.00 DA
02 FC:1618 130.00 DA

7. Total Fee Due

The total fee due is:

Application Filing Fee	\$0.00
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$130.00
Extension of Time Fee	\$ 0.00

Total Fee Due \$260.00

8. Fee Payment

☒ Attached is a check in the sum of \$
Charge Account No. 12-1216 the sum of \$260.00. A duplicate of this
transmittal is attached.

9. Fee Deficiency

☒ If any additional fee is required in connection with this communication, charge
Account No. 12-1216. A duplicate of this transmittal is attached.

Jeffrey A. Wyand, Reg. No. 29,458
LEYDIG, VOIT & MAYER
700 Thirteenth Street, N.W., Suite 300
Washington, DC 20005-3960
(202) 737-6770 (telephone)
(202) 737-6776 (facsimile)

Date: _____
JAW/maa

Missing Parts Response (Revised 1/14/05)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/530,711	Jean-Pierre Ghilardi	403371/Weinstein

INTERNATIONAL APPLICATION NO.

PCT/FR03/03005

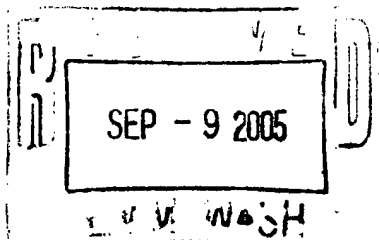
I.A. FILING DATE

PRIORITY DATE

10/10/2003

10/11/2002

23548
 LEYDIG VOIT & MAYER, LTD
 700 THIRTEENTH ST. NW
 SUITE 300
 WASHINGTON, DC 20005-3960



CONFIRMATION NO. 9318
 371 FORMALITIES LETTER
 OC000000016956128
 OC000000016956128

Date Mailed: 09/08/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/08/2005
- Copy of the International Search Report filed on 04/08/2005
- Request for Immediate Examination filed on 04/08/2005
- U.S. Basic National Fees filed on 04/08/2005
- Priority Documents filed on 04/08/2005
- Power of Attorney filed on 04/08/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Processing fee for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

DOCKETED

Total additional fees required for this application is \$260 for a Large Entity:

- \$130 Surcharge.
- \$130 for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/530,711	PCT/FR03/03005	403371/Weinstein

FORM PCT/DO/EO/905 (371 Formalities Notice)